

**From:** [Fishman, David A.](#)  
**To:** [Avis, Kristan A.](#); [Mitcham, Kathy C.](#)  
**Cc:** [Hunter, Karen L.](#)  
**Subject:** FW: FOIA Request SBA-2021-014233 Processing Fee Estimate  
**Date:** Wednesday, July 28, 2021 8:18:04 AM  
**Attachments:** [Rubinstein -- No 21-14233 Fee Estimate.pdf](#)  
[image002.png](#)

---

Kristan and Kathy,

Here is the fee estimate letter sent to the requestor Friday.

(b) (5)

If you have any questions please feel free to reach out.

Sincerely,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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---

**From:** Moore, Megan M. <Megan.Moore@sba.gov>  
**Sent:** Friday, July 23, 2021 2:49 PM  
**To:** [info@aflegal.org](mailto:info@aflegal.org)  
**Subject:** FOIA Request SBA-2021-014233 Processing Fee Estimate

Dear Mr. Rubinstein,

Please find attached the Processing Fee Estimate for Freedom of Information Act ("FOIA") Request

SBA-2021-014233.

Kindest Regards,

*Megan Moore*

Paralegal Specialist

Office of General Counsel

U.S. Small Business Administration

409 3<sup>rd</sup> Street SW

Rm: 7216

Washington, D.C. 20416

[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)



*Please consider the environment before printing this e-mail*

**From:** [Fishman, David A.](#)  
**To:** [Hunter, Karen L.](#)  
**Subject:** FW: Kristan sent a message  
**Date:** Monday, July 19, 2021 9:07:01 AM  
**Attachments:** [ATT00004.png](#)  
[ATT00005.png](#)  
[ATT00006.png](#)  
[ATT00007.png](#)  
[ATT00008.png](#)  
[image001.png](#)  
[image002.png](#)  
[image003.png](#)  
[image004.png](#)  
[image005.png](#)

---

FYI America First FOIA

---

**From:** Avis, Kristan A. in Teams <noreply@gcc-email.teams.microsoft.com>  
**Sent:** Friday, July 16, 2021 6:23 PM  
**To:** Fishman, David A. <david.fishman@sba.gov>  
**Subject:** Kristan sent a message

Hi,

Your teammates are trying to reach you in [Microsoft Teams](#).



[Kristan sent a message in chat with Kristan + 1](#)

David - (b) (5)  
(b) (5)

[Reply in Teams](#)

Install Microsoft Teams now



iOS



Android

This email was sent from an unmonitored mailbox. Update your email preferences in Teams. Profile picture > Settings > Notifications.

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Read our [privacy policy](#)



**From:** [Fishman, David A.](#)  
**To:** [Hunter, Karen L.](#)  
**Subject:** FW: Rubinstein -- No 21-14233\_Fee Estimate  
**Date:** Wednesday, July 21, 2021 9:32:03 AM  
**Attachments:** [Rubinstein -- No 21-14233\\_Fee Estimate.docx](#)

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---

**From:** Fishman, David A. <david.fishman@sba.gov>  
**Sent:** Wednesday, July 21, 2021 7:51 AM  
**To:** Fishman, David A. <david.fishman@sba.gov>  
**Subject:** Rubinstein -- No 21-14233\_Fee Estimate

**From:** [Fishman, David A.](#)  
**To:** [Avis, Kristan A.](#)  
**Cc:** [Hunter, Karen L.](#)  
**Date:** Friday, July 16, 2021 12:58:25 PM  
**Attachments:** [07092021 AFL FOIA SBA Leadership.pdf](#)

---

Kristan,

I am out today but can we talk on Monday or Tuesday about the HR FOIA request (AFL) you are working on for Donna regarding SBA Leadership. (b) (5)

Thanks,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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**From:** [Fishman, David A.](#)  
**To:** [Benderson, Eric S.](#); [Hunter, Karen L.](#)  
**Cc:** [Moore, Megan M.](#)  
**Subject:** RE: 21-14233 Fee Estimate \*\*\* Important  
**Date:** Friday, July 23, 2021 1:45:54 PM  
**Attachments:** [image001.png](#)

---

Yes we can chat right after our 2:00 or now?

David

---

**From:** Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Sent:** Friday, July 23, 2021 1:44 PM  
**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>  
**Cc:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Subject:** FW: 21-14233 Fee Estimate \*\*\* Important  
**Importance:** High

Can we discuss today. (b) (5)

---

**From:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Sent:** Wednesday, July 21, 2021 10:58 AM  
**To:** Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Cc:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>  
**Subject:** 21-14233 Fee Estimate \*\*\* Important  
**Importance:** High

Good Morning,

Please see attached fee letter for 21-14233.

(b) (5)

Thank you,

*Megan Moore*  
Paralegal Specialist  
Office of General Counsel  
U.S. Small Business Administration  
409 3<sup>rd</sup> Street SW  
Rm: 7216  
Washington, D.C. 20416  
[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)



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**From:** [Moore, Megan M.](#)  
**To:** [Fishman, David A.](#)  
**Cc:** [Benderson, Eric S.](#); [Hunter, Karen L.](#)  
**Subject:** RE: 21-14233 Fee Estimate \*\*\* Important  
**Date:** Friday, July 23, 2021 2:43:43 PM  
**Attachments:** [image002.png](#)

---

Yes, I'll work on that now.

*Megan Moore*

---

**From:** Fishman, David A. <david.fishman@sba.gov>  
**Sent:** Friday, July 23, 2021 2:38 PM  
**To:** Moore, Megan M. <Megan.Moore@sba.gov>  
**Cc:** Benderson, Eric S. <eric.benderson@sba.gov>; Hunter, Karen L. <Karen.Hunter@sba.gov>  
**Subject:** RE: 21-14233 Fee Estimate \*\*\* Important

Megan,

(b) (5) Can you get it out today?

Thanks!

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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**From:** Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Sent:** Friday, July 23, 2021 1:44 PM  
**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>



**Cc:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Subject:** FW: 21-14233 Fee Estimate \*\*\* Important  
**Importance:** High

Can we discuss today. (b) (5)

---

**From:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Sent:** Wednesday, July 21, 2021 10:58 AM  
**To:** Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Cc:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>  
**Subject:** 21-14233 Fee Estimate \*\*\* Important  
**Importance:** High

Good Morning,

Please see attached fee letter for 21-14233.

(b) (5)

Thank you,

*Megan Moore*  
Paralegal Specialist  
Office of General Counsel  
U.S. Small Business Administration  
409 3<sup>rd</sup> Street SW  
Rm: 7216  
Washington, D.C. 20416  
[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)



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**From:** [Lopez-Suarez, Lisa](#)  
**To:** [Fishman, David A.](#); [Mitcham, Kathy C.](#); [Avis, Kristan A.](#)  
**Cc:** [Wood, Donna P.](#); [Brinkley, Charles](#); [Hunter, Karen L.](#)  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30  
**Date:** Thursday, July 15, 2021 11:59:01 PM  
**Attachments:** [image003.png](#)

---

Hi David,

(b) (5) [REDACTED]  
[REDACTED]

Kristan and Kathy

My answer to the questions on the ethics side are below. (b) (5)

[REDACTED]  
[REDACTED]

Thanks

Lisa

---

**From:** Avis, Kristan A. <Kristan.Avis@sba.gov>  
**Sent:** Thursday, July 15, 2021 5:12 PM  
**To:** Lopez-Suarez, Lisa <Lisa.Lopez-Suarez@sba.gov>  
**Cc:** Wood, Donna P. <Donna.Wood@sba.gov>; Brinkley, Charles <Charles.Brinkley@sba.gov>; Mitcham, Kathy C. <kathy.mitcham@sba.gov>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Hi Lisa – Looping you on a FOIA that we got. (b) (5)

[REDACTED]  
[REDACTED]  
[REDACTED]

Kristan Avis  
Human Resources Specialist  
Office of Executive Resources  
Office of Human Resources Solutions  
U.S. Small Business Administration  
Phone: 202-695-5454  
Fax: 202-481-5312  
Email: [Kristan.Avis@SBA.gov](mailto:Kristan.Avis@SBA.gov)



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---

**From:** Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>

**Sent:** Thursday, July 15, 2021 5:04 PM

**To:** Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>; Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>

**Cc:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Kristan,

Donna will be on leave next week; (b) (5)

[REDACTED]

(b) (5)

[REDACTED]

Be safe and stay well,

Charles Brinkley  
Administrative Officer  
Office of Human Resources Solutions  
U.S. Small Business Administration  
202-205-6085 (office)  
(b) (6) (cell)  
[charles.brinkley@sba.gov](mailto:charles.brinkley@sba.gov)



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---

**From:** Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>

**Sent:** Thursday, July 15, 2021 4:17 PM

**To:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>

**Cc:** Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Hi Donna,

Acknowledging request.

Few thoughts and questions below.

(b) (5)

Kristan Avis  
Human Resources Specialist  
Office of Executive Resources  
Office of Human Resources Solutions  
U.S. Small Business Administration  
Phone: 202-695-5454  
Fax: 202-481-5312  
Email: [Kristan.Avis@SBA.gov](mailto:Kristan.Avis@SBA.gov)



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---

**From:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>

**Sent:** Wednesday, July 14, 2021 5:43 PM

**To:** Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>; Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>

**Subject:** Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Good Afternoon Kathy and Kristan,

Hope you are doing well. Please provide assistance regarding the **SBA FOIA Case Number, SBA-2021-014233, Mr. Reed Rubinstein, America First Legal**, requesting information as mentioned below (FOIA case attached):

- A. Documents sufficient to identify all employees who entered into a position at the agency as a Political Appointee since January 20, 2021, to the date this records request is processed, and the title or position of each employee (to the extent that individuals have held multiple positions during this time period, identify each title or position and the time period it was held). (b) (5)
- B. Documents sufficient to identify all career employees who, between January 20, 2021, and the date this records request is processed, have been detailed to (b) (5) (b) (5)
- 1) a position eligible to be filled by a Political Appointee, or 2) a new position through coordination or consultation with either the White House Liaison or Presidential Personnel Office; the title or position of each employee while on detail; and each employee's originating agency or component, and prior title.
- C. For each individual identified in response to Requests 1 and 2 provide:
1. The resume provided by the individual to the agency in connection with determining the appropriate salary for the individual, or if that is not available, a recent resume contained within the agency's records. AFL has no objection to the redaction of employee's contact information, such as email, address, phone numbers etc.; however, prior employment, education, and descriptions of such experiences are not exempt and should be produced. (b) (5)
  2. Any waivers issued to the individual pursuant to 18 U.S.C. § 208(b). (b) (5) (b) (5)
  3. Any authorizations for the individual issued pursuant to 5 C.F.R. § 2635.502. (b) (5)
  4. Any Ethics Pledge waivers, issued pursuant to Section 3 of Executive Order 13989 and Office of Government Ethics Legal Advisory 21-04, received by the individual. (b) (6)
  5. Any ethics agreement executed by the individual; any Certification of Ethics Agreement Compliance; and any documents relating to any violation by an individual of his or her ethics agreement. (b) (5)
  6. Records reflecting any recusal determination made or issued for the individual. (b) (5)
  7. Copies of any SF-50 forms for the individual reflecting any change in position, title, or salary, including when the employee starts or leaves a position. Kathy and I can pull these, (b) (5)
  8. Completed Ethics Pledge for each individual. Kathy and I have the Ethics Pledge Form (b) (5)

Please provide the estimated time to examine and retrieve the information regarding the FOIA

request **by COB Wednesday, July 21 ,2021.**

FOIA is a federal disclosure statute requiring Federal agencies to disclose to "any person" all records within its possession, except for information protected by a FOIA exemption.

OHRS must notify the requester of your disclosure determinations within **20 working days from receipt of the request.**

Please respond with requested information by **COB Friday, July 23, 2021.**

Please let me know if you have any questions or need any additional information.

**Donna Wood**

Management Analyst

Office of Human Resources Solutions (OHRS)

**U.S. Small Business Administration**

(202) 619-1608

[donna.wood@sbagov](mailto:donna.wood@sbagov)



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**From:** [Fishman, David A.](#)  
**To:** [Hunter, Karen L.](#); [Moore, Megan M.](#)  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-014233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30  
**Date:** Monday, July 19, 2021 12:42:13 PM  
**Attachments:** [07092021\\_AFL FOIA SBA Leadership.pdf](#)  
[image002.png](#)  
[image005.png](#)

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**From:** Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>  
**Sent:** Monday, July 19, 2021 12:39 PM  
**To:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>; Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-014233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

(b) (5)

---

**From:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Sent:** Monday, July 19, 2021 12:20 PM  
**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>  
**Cc:** Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-014233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Good afternoon,

Please see attached the first draft of the fee estimate letter. (b) (5)

[Redacted]

[Redacted]

[Redacted]

[Redacted]

Please let me know if you need anything further.

*Megan Moore*

---

**From:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>  
**Sent:** Monday, July 19, 2021 11:08 AM  
**To:** Moore, Megan M. <[Megan.Moore@sba.gov](mailto:Megan.Moore@sba.gov)>  
**Cc:** Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>  
**Subject:** FW: Response Required: OHRS FOIA Case - SBA-2021-014233 - Mr. Reed d. Rubinstein

Extension to Friday, 07/30

Megan,

Can you write a draft fee estimate for the above FOIA request 14233 for America First. (b) (5)

[REDACTED]

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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**From:** Fishman, David A.  
**Sent:** Friday, July 16, 2021 9:51 AM  
**To:** Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>  
**Cc:** Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Peggy,

(b) (5)

[REDACTED]

[REDACTED]

[REDACTED]



(b) (5)

Happy to discuss further with you if you would like.

Sincerely,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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**From:** Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>

**Sent:** Friday, July 16, 2021 9:34 AM

**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>;  
Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>

**Subject:** FW: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

**Importance:** High

(b) (5)

Many thanks,

Peggy

Peggy Delinois Hamilton  
General Counsel  
**U.S. Small Business Administration**  
202 (b) (6)

[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)



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---

**From:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>

**Sent:** Friday, July 16, 2021 7:36 AM

**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>

**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>; Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Sounds good!

---

**From:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>

**Sent:** Friday, July 16, 2021 7:30 AM

**To:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>

**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>; Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Lisa,

Hope you are well.

(b) (5)

[REDACTED] If you have any questions please feel free to contact me.

Sincerely,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration

Office: (202) 205-6861

Mobile: (b) (6)

[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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**From:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>

**Sent:** Friday, July 16, 2021 7:08 AM

**To:** Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>

**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>;

Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Peggy –

I just realized I didn't include the attachments when I sent the e-mail yesterday.

---

**From:** Lopez-Suarez, Lisa

**Sent:** Thursday, July 15, 2021 11:35 PM

**To:** Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>

**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Peggy,

Looping you in. Please see FOIA request below.

---

**From:** Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>

**Sent:** Thursday, July 15, 2021 5:12 PM

**To:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>

**Cc:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>;

Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>

**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Lisa – Looping you on a FOIA that we got. (b) (5)

Kristan Avis  
Human Resources Specialist  
Office of Executive Resources  
Office of Human Resources Solutions  
U.S. Small Business Administration  
Phone: 202-695-5454  
Fax: 202-481-5312  
Email: [Kristan.Avis@SBA.gov](mailto:Kristan.Avis@SBA.gov)



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---

**From:** Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>  
**Sent:** Thursday, July 15, 2021 5:04 PM  
**To:** Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>; Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>  
**Cc:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Kristan,

Donna will be on leave next week; (b) (5)

Be safe and stay well,

Charles Brinkley  
Administrative Officer  
Office of Human Resources Solutions  
U.S. Small Business Administration

202-205-6085 (office)  
(b) (6) (cell)  
[charles.brinkley@sba.gov](mailto:charles.brinkley@sba.gov)



---

**From:** Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>  
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**To:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>  
**Cc:** Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Hi Donna,

Acknowledging request.

Few thoughts and questions below.

(b) (5) [REDACTED]  
[REDACTED]

[REDACTED]

[REDACTED]  
[REDACTED]

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Kristan Avis  
Human Resources Specialist  
Office of Executive Resources  
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**Sent:** Wednesday, July 14, 2021 5:43 PM

**To:** Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>; Avis, Kristan A. <[Kristan.Avis@sba.gov](mailto:Kristan.Avis@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>

**Subject:** Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Good Afternoon Kathy and Kristan,

Hope you are doing well. Please provide assistance regarding the **SBA FOIA Case Number, SBA-2021-014233, Mr. Reed Rubinstein, America First Legal**, requesting information as mentioned below (FOIA case attached):

- A. Documents sufficient to identify all employees who entered into a position at the agency as a Political Appointee since January 20, 2021, to the date this records request is processed, and the title or position of each employee (to the extent that individuals have held multiple positions during this time period, identify each title or position and the time period it was held). (b) (5)
- B. Documents sufficient to identify all career employees who, between January 20, 2021, and the date this records request is processed, have been detailed to (b) (5)  
1) a position eligible to be filled by a Political Appointee, or 2) a new position through coordination or consultation with either the White House Liaison or Presidential Personnel Office; the title or position of each employee while on detail; and each employee's originating agency or component, and prior title.
- C. For each individual identified in response to Requests 1 and 2 provide:
  1. The resume provided by the individual to the agency in connection with determining the appropriate salary for the individual, or if that is not available, a recent resume contained within the agency's records. AFL has no objection to the redaction of employee's contact information, such as email, address, phone numbers etc.; however, prior employment, education, and descriptions of such experiences are not exempt and should be produced. (b) (5)
  2. Any waivers issued to the individual pursuant to 18 U.S.C. § 208(b). (b) (5)
  3. Any authorizations for the individual issued pursuant to 5 C.F.R. § 2635.502. (b) (5)
  4. Any Ethics Pledge waivers, issued pursuant to Section 3 of Executive Order 13989 and Office of Government Ethics Legal Advisory 21-04, received by the individual. (b) (5)
  5. Any ethics agreement executed by the individual; any Certification of Ethics Agreement Compliance; and any documents relating to any violation by an

- individual of his or her ethics agreement. (b) (5)
6. Records reflecting any recusal determination made or issued for the individual. (b) (5)
  7. Copies of any SF-50 forms for the individual reflecting any change in position, title, or salary, including when the employee starts or leaves a position. (b) (5)
  8. Completed Ethics Pledge for each individual. (b) (5)

Please provide the estimated time to examine and retrieve the information regarding the FOIA request **by COB Wednesday, July 21 ,2021.**

FOIA is a federal disclosure statute requiring Federal agencies to disclose to "any person" all records within its possession, except for information protected by a FOIA exemption.

OHRS must notify the requester of your disclosure determinations within **20 working days from receipt of the request.**

Please respond with requested information by **COB Friday, July 23, 2021.**

Please let me know if you have any questions or need any additional information.

**Donna Wood**

Management Analyst

Office of Human Resources Solutions (OHRS)

**U.S. Small Business Administration**

(202) 619-1608

[donna.wood@sbagov](mailto:donna.wood@sbagov)



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Administration

**From:** [Fishman, David A.](#)  
**To:** [Hamilton, Peggy Delinois](#)  
**Cc:** [Hunter, Karen L.](#); [Benderson, Eric S.](#)  
**Subject:** Re: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30  
**Date:** Friday, July 16, 2021 10:37:37 AM  
**Attachments:** [image002.png](#)  
[image007.png](#)

---

(b) (5)

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
Office of General Counsel  
Small Business Administration  
409 Third Street, NW  
Washington, DC 20416  
(O)(202) 205-6861  
(M)(b) (6)

---

**From:** Hamilton, Peggy Delinois <Peggy.Hamilton@sba.gov>  
**Sent:** Friday, July 16, 2021 10:03:58 AM  
**To:** Fishman, David A. <david.fishman@sba.gov>  
**Cc:** Hunter, Karen L. <Karen.Hunter@sba.gov>; Benderson, Eric S. <eric.benderson@sba.gov>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Thanks for the update. (b) (5)

Peggy Delinois Hamilton  
General Counsel  
**U.S. Small Business Administration**  
202 (b) (6)  
[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)



U.S. Small Business  
Administration



**From:** Fishman, David A. <david.fishman@sba.gov>  
**Sent:** Friday, July 16, 2021 9:51 AM  
**To:** Hamilton, Peggy Delinois <Peggy.Hamilton@sba.gov>  
**Cc:** Hunter, Karen L. <Karen.Hunter@sba.gov>; Benderson, Eric S. <eric.benderson@sba.gov>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Peggy,

(b) (5)  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]

Happy to discuss further with you if you would like.

Sincerely,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
Office: (202) 205-6861  
Mobile: (b) (6)  
[david.fishman@sba.gov](mailto:david.fishman@sba.gov)



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---

**From:** Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>  
**Sent:** Friday, July 16, 2021 9:34 AM  
**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>;  
Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>  
**Subject:** FW: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

**Importance:** High

(b) (5)

Many thanks,  
Peggy

Peggy Delinois Hamilton  
General Counsel  
**U.S. Small Business Administration**  
202 (b) (6)  
[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)



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---

**From:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>  
**Sent:** Friday, July 16, 2021 7:36 AM  
**To:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>  
**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>; Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Sounds good!

---

**From:** Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>  
**Sent:** Friday, July 16, 2021 7:30 AM  
**To:** Lopez-Suarez, Lisa <[Lisa.Lopez-Suarez@sba.gov](mailto:Lisa.Lopez-Suarez@sba.gov)>  
**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>; Benderson, Eric S. <[eric.benderson@sba.gov](mailto:eric.benderson@sba.gov)>; Hunter, Karen L. <[Karen.Hunter@sba.gov](mailto:Karen.Hunter@sba.gov)>; Hamilton, Peggy Delinois <[Peggy.Hamilton@sba.gov](mailto:Peggy.Hamilton@sba.gov)>  
**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein Extension to Friday, 07/30

Lisa,

Hope you are well.

Thanks for forwarding that to Peggy. (b) (5)

(b) (5)

If you have any questions please feel free to contact me.

Sincerely,

David

David A. Fishman  
Senior Legal Counsel  
For FOIA and Privacy Act  
U.S. Small Business Administration  
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**Cc:** Kong, Stephen D. <[Stephen.Kong@sba.gov](mailto:Stephen.Kong@sba.gov)>; Fishman, David A. <[david.fishman@sba.gov](mailto:david.fishman@sba.gov)>; Sundberg, Susan L. <[Susan.Sundberg@sba.gov](mailto:Susan.Sundberg@sba.gov)>; Brown, Cynthia M. <[Cynthia.Brown3@sba.gov](mailto:Cynthia.Brown3@sba.gov)>  
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**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Extension to Friday, 07/30

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**Cc:** Wood, Donna P. <[Donna.Wood@sba.gov](mailto:Donna.Wood@sba.gov)>; Brinkley, Charles <[Charles.Brinkley@sba.gov](mailto:Charles.Brinkley@sba.gov)>; Mitcham, Kathy C. <[kathy.mitcham@sba.gov](mailto:kathy.mitcham@sba.gov)>  
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Hi Lisa – Looping you on a FOIA that we got. (b) (5)

[REDACTED]

[REDACTED]

Kristan Avis  
Human Resources Specialist  
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Office of Human Resources Solutions  
U.S. Small Business Administration  
Phone: 202-695-5454  
Fax: 202-481-5312  
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**Subject:** RE: Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein  
Extension to Friday, 07/30

Hi Kristan,

Donna will be on leave next week; (b) (5)

Be safe and stay well,

Charles Brinkley  
Administrative Officer  
Office of Human Resources Solutions  
U.S. Small Business Administration  
202-205-6085 (office)  
(b) (6) (cell)  
[charles.brinkley@sba.gov](mailto:charles.brinkley@sba.gov)



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Hi Donna,

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Few thoughts and questions below.

(b) (5)

Kristan Avis  
Human Resources Specialist  
Office of Executive Resources  
Office of Human Resources Solutions  
U.S. Small Business Administration  
Phone: 202-695-5454  
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**Subject:** Response Required: OHRS FOIA Case - SBA-2021-0141233 - Mr. Reed d. Rubinstein

Good Afternoon Kathy and Kristan,

Hope you are doing well. Please provide assistance regarding the **SBA FOIA Case Number, SBA-2021-014233, Mr. Reed Rubinstein, America First Legal**, requesting information as mentioned below (FOIA case attached):

- A. Documents sufficient to identify all employees who entered into a position at the agency as a Political Appointee since January 20, 2021, to the date this records request is processed, and the title or position of each employee (to the extent that individuals have held multiple positions during this time period, identify each title or position and the time period it was held). (b) (5)
- B. Documents sufficient to identify all career employees who, between January 20, 2021, and the date this records request is processed, have been detailed to (b) (5)
- 1) a position eligible to be filled by a Political Appointee, or 2) a new position through coordination or consultation with either the White House Liaison or Presidential Personnel Office; the title or position of each employee while on detail; and each employee's originating agency or component, and prior title.
- C. For each individual identified in response to Requests 1 and 2 provide:
  - 1. The resume provided by the individual to the agency in connection with determining the appropriate salary for the individual, or if that is not available, a recent resume contained within the agency's records. AFL has no

- objection to the redaction of employee's contact information, such as email, address, phone numbers etc.; however, prior employment, education, and descriptions of such experiences are not exempt and should be produced. (b) (5)
2. Any waivers issued to the individual pursuant to 18 U.S.C. § 208(b). (b) (5)
  3. Any authorizations for the individual issued pursuant to 5 C.F.R. § 2635.502. (b) (5)
  4. Any Ethics Pledge waivers, issued pursuant to Section 3 of Executive Order 13989 and Office of Government Ethics Legal Advisory 21-04, received by the individual. (b) (5)
  5. Any ethics agreement executed by the individual; any Certification of Ethics Agreement Compliance; and any documents relating to any violation by an individual of his or her ethics agreement. (b) (5)
  6. Records reflecting any recusal determination made or issued for the individual. (b) (5)
  7. Copies of any SF-50 forms for the individual reflecting any change in position, title, or salary, including when the employee starts or leaves a position. (b) (5)
  8. Completed Ethics Pledge for each individual. (b) (5)

Please provide the estimated time to examine and retrieve the information regarding the FOIA request **by COB Wednesday, July 21, 2021.**

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OHRS must notify the requester of your disclosure determinations within **20 working days from receipt of the request.**

Please respond with requested information by **COB Friday, July 23, 2021.**

Please let me know if you have any questions or need any additional information.

**Donna Wood**

Management Analyst  
Office of Human Resources Solutions (OHRS)  
**U.S. Small Business Administration**  
(202) 619-1608  
[donna.wood@sbagov](mailto:donna.wood@sbagov)

