



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

November 20, 2015

Stephen J. Kaufman
Kaufman Legal Group
Send via email to: skaufman@kaufmanlegalgroup.com

Re: Closure without Action

Dear Mr. Kaufman:

The Enforcement Division of the Fair Political Practices Commission reviewed a matter concerning your client's possible receipt of gifts over the gift limit. After review of this matter, we found no violation of the Political Reform Act (the "Act").¹

Under the Act, no elected state officer shall accept gifts from any single source² in a calendar year over the gift limit applicable for that year. (Section 89503; Regulation 18940.2.) In calendar years 2013 and 2014, that limit was \$440; in 2015 and for 2016, the gift limit from a single source in a calendar year is \$460.

The Enforcement Division was reviewing a matter concerning the possibility that your client, Attorney General Kamala Harris, had received gifts of furnishings, accessories and services from Ken Fulk, Inc. over the limit imposed by the Act. Ken Fulk wholly owns an interior decorator/design business in San Francisco. In response to our inquiry, you responded that your client and Mr. Fulk are close personal friends and that their relationship is more akin to a "family" relationship. They maintain regular contact, have occasional dinners together, discuss personal family matters and have attended out of town events together.

With regard to the alleged gifts, you explained that your client asked Mr. Fulk for design ideas regarding her apartment in San Francisco. He provided furniture options, repaired a wall in her kitchen, painted a portion of her living and dining rooms, installed wallpaper and ordered some furniture and area rugs, for which your client had made three substantial

¹ The Act is contained in Government Code sections 81000 through 91014. All statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to this source.

² For purposes of the gift limit, gifts from an individual and any entity in which the individual has an ownership interest of more than 50% are aggregated as being from a single source. (Reg. 18945.1.)

payments to Mr. Fulk for his services, even though she had not yet received an invoice. As a result of our inquiry, your client requested an accounting of the amount still owed and issued a payment of \$10,245 to bring her account current.

We have found that all or a majority of service and goods provided have been paid for and the regulations regarding gifts received during personal relationships apply to any balance or discount that may have been provided. Under the Act and its implementing regulations, two regulatory schemes exist wherein a benefit to an official from an individual would be exempt from the definition of a gift. In both instances, the individual making the gift has a long term, close personal friendship with the official. In Regulation 18942(a)(18), the exception applies when there is an existing relationship unrelated to the public official's position and the individual making the gift is not a lobbyist or a person who has a contract, license, or proceeding before the official's agency. In Regulation 18942(a)(19), when the gift is given by the close personal friend, the official must not be making a decision affecting that person.

You indicated that Mr. Fulk is not a registered lobbyist and has no business before the Attorney General's office, nor has he or his company been the subject of any proceedings before the Attorney General's office. Further, that your client has not made decisions that would have a material financial effect on Mr. Fulk. We have closed our file on this matter.

If you have any questions, or if you wish to speak with someone in the Enforcement Division about your correspondence, you may call Jeanette Turvill at (916) 322-8194.

Sincerely,



Galena West, Chief
Enforcement Division

GW/jt



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

October 13, 2015

The Honorable Kamala D. Harris
California State Attorney General
260 Clara Street, #6
San Francisco, CA 94107-1096

Re: Initiating a Possible Investigation

Dear Ms. Harris:

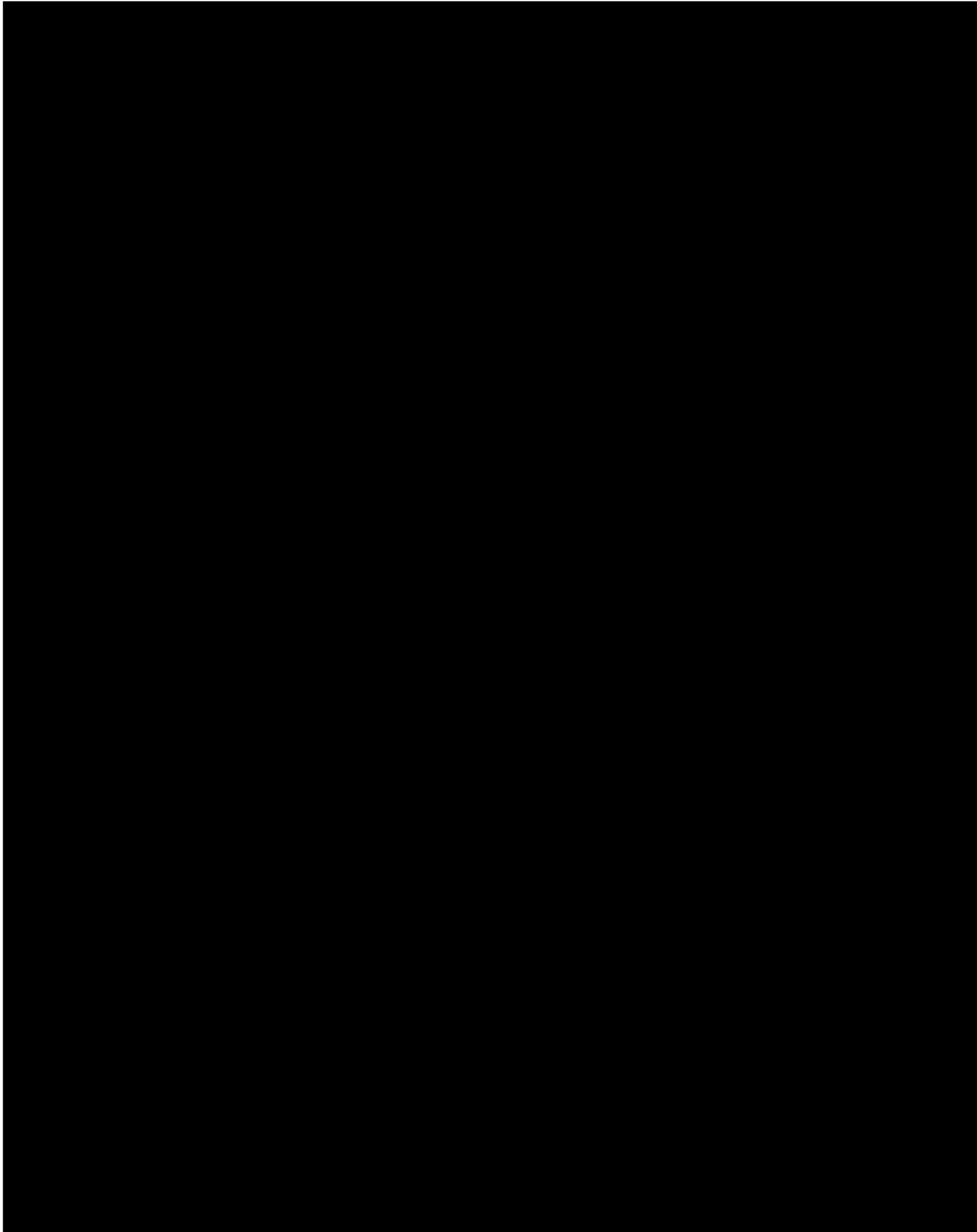
The Fair Political Practices Commission is considering initiating an investigation concerning your receipt of gifts of furnishings, accessories and services from Ken Fulk, Inc., over the gift limit.

At this time, we are interested in any comments, or response, you may have on the matter. We would appreciate receiving your response within 10 calendar days from the date of this letter. A determination to further investigate will be made at that time. Please provide your written response to the attention of Jeanette Turvill at the address above or at jturvill@fppc.ca.gov.

Sincerely,

Galena West, Chief
Enforcement Division

GW/jt



RECEIVED
FIDELITY COMM
15 NOV 12 PM 3:13

November 11, 2015

VIA EMAIL & OVERNIGHT DELIVERY

Jeanette Turvill
Fair Political Practices Commission
428 J Street, Suite 620
Sacramento, CA 95814-2329

Re: October 13, 2015 Inquiry Letter

Dear Ms. Turvill:

Summary of Response

The Attorney General did not receive gifts of furniture or services from her longtime close personal friend. First and foremost, the Attorney General has paid for items and services provided by Ken Fulk for a modest remodel of the living area and kitchen of her loft apartment in San Francisco. Moreover, due to her close personal friendship with Mr. Fulk and the fact that he has no business before the Attorney General, she is clearly within well-settled exceptions to the gift rules to the extent she received any benefits from Mr. Fulk. Either way, the Attorney General remains in compliance with state gift rules, and the charges raised by the "anonymous" tip should lead to no further action by the Commission and the issuance of a closure letter.

Introduction

We are responding on behalf of Attorney General Kamala Harris to the letter dated October 13, 2015, from Galena West, addressed to the Attorney General at her personal residence in San Francisco. Unfortunately, the Attorney General did not become aware of this letter until your office contacted her office on October 30, 2015. We appreciate your courtesy and cooperation in providing additional time for the Attorney General to respond. The Attorney General takes all ethics issues seriously and has prioritized compliance with all applicable ethics rules. As you are aware, the Attorney General has never been subjected to an inquiry, investigation, or enforcement action of any kind by the FPPC.

Your letter seeks a response concerning the Attorney General's alleged "receipt of gifts of furnishings, accessories and services from Ken Fulk, Inc., over the gift limit." In subsequent conversations, your office clarified that these allegations arise from an anonymous complaint suggesting that the Attorney General received "free" items and services in connection with the remodeling of her personal residence in San Francisco, and for work allegedly done for her niece

in Washington, D.C. As we explain in detail below, any allegations raised by the complaint are completely without merit, and we therefore respectfully request that the Commission take no further action and issue a closure letter.

Background

To properly evaluate this matter, one must understand the nature of the relationship between the Attorney General and Ken Fulk, the 100% sole owner of Ken Fulk, Inc. The Attorney General and Mr. Fulk are close personal friends. They were introduced to each other by a mutual friend a number of years prior to the time Attorney General Harris ran for the office of Attorney General. Their relationship has nothing to do with the Attorney General's status as a public official, and is more akin to a "family" relationship. Despite their busy lives, they maintain regular contact, have occasional dinners together, discuss personal family matters, and have attended out of town events together. Additionally, Mr. Fulk has no business whatsoever before the California Attorney General's office.

With this backdrop, the Attorney General sought the advice of her close friend in 2013 while she was completing a small remodeling job on the kitchen of her San Francisco loft apartment. The Attorney General asked Mr. Fulk for his advice on making her living room and dining area more usable. Mr. Fulk proposed some design ideas and provided the Attorney General with a few furniture options for her apartment. Based on Mr. Fulk's recommendations, the Attorney General agreed to have him repair a wall in her kitchen; paint a portion of the living room and dining area; install wallpaper in the living room; redo the planters on her balcony; and order some furniture and area rugs for the living room and dining area. Most of the furniture items were previously owned pieces that came from Mr. Fulk's large furniture warehouse. Mr. Fulk also had pillows made for some of the pieces. As discussed more fully below, the Attorney General has paid for this work.

With respect to the alleged design work relating to the Attorney General's niece, in fact there was no design work performed for the Attorney General's niece, nor was any furniture purchased for her. That work merely consisted of shipping one of the Attorney General's old chairs from her San Francisco apartment to her niece's apartment in Washington, D.C., at a cost of \$337.50.

The Attorney General has Paid for the Services and Furniture Provided by Mr. Fulk.

The Attorney General expected from the beginning that she would pay for furniture and other services provided by Mr. Fulk. In fact, even though she had not been invoiced, she had already made three substantial payments for the furniture and services before this complaint was ever filed. These payments include:

\$5,000	September 27, 2013
\$2,000	June 19, 2014
\$4,000	August 27, 2015

In addition, prior to being made aware of the complaint, the Attorney General returned furniture that Mr. Fulk provided from his warehouse for her to try out.

Upon becoming aware of the allegations raised in the anonymous complaint last week, the Attorney General (through her counsel) requested an accounting from Mr. Fulk of any amounts still owed. After being presented with that amount, the Attorney General issued a payment for \$10,245.16 in order to bring her account current. The total amounts paid include the work done at her San Francisco apartment and the \$337.50 in freight charges for shipping her old chair to her niece. Although the unpaid balance would not constitute a gift for the reasons set forth below, the Attorney General paid the entire outstanding balance to resolve any potential issues and because, as stated previously, she always expected to pay Mr. Fulk.

Thus, the Attorney General has provided consideration in exchange for the benefits received. Put simply, the Attorney General contracted with her friend for goods and services and paid for them.

Any Personal Benefits Received by the Attorney General from Mr. Fulk are not Gifts Under State Law.

The gift rules are not intended to prevent public officials from carrying on normal relationships with friends and family members who do not have any business before the official. Thus, even if the Attorney General had received anything of value from Mr. Fulk, the items are exempt from the gift rules under regulations adopted by the FPPC. In fact, two separate exceptions to the gift rules apply here.

a. The “Long Time Friendship” Exception to the Gift Rules Applies.

First, pursuant to FPPC Regulation 18942(a)(18), a “payment provided to an official by an individual with whom the official has a long term, close personal friendship unrelated to the official’s position with the agency” is not a gift,

unless the individual providing the benefit to the official is . . .

- (i) A lobbyist, lobbying firm, lobbyist employer . . .
- (ii) A person . . . who has, or may reasonably foreseeably have, a contract, license, permit, or other entitlement for use pending before the official’s agency . . . [or]
- (iii) A person . . . involved in a licensing or enforcement proceeding before a regulatory agency that employs the official and in which the official may reasonably foreseeably participate, or has participated, within 12 months of the time the gift is made.

(2 CCR § 18942(a)(18).)

As stated above, the Attorney General and Mr. Fulk are close personal friends unrelated to her position as Attorney General. Mr. Fulk is not a registered lobbyist. He has no business before the Attorney General's office, nor has he or his company been the subject of any proceedings before the Attorney General's office. Therefore, any items of value provided by Mr. Fulk to the Attorney General would not constitute gifts under state law.

b. The Exception for Gifts "Unrelated to Official Position" Applies.

A second exception to the gift rules was adopted to address the scenario that is at the heart of this matter. Pursuant to FPPC Regulation 18942(a)(19), a payment received by a public official is not a gift

where the payment is made by an individual who is not a lobbyist registered to lobby the official's agency, where it is clear that the gift was made because of an existing personal or business relationship unrelated to the official's position and there is no evidence whatsoever at the time the gift is made that the official makes or participates in the type of governmental decisions that may have a reasonably foreseeable material financial effect on the individual who would otherwise be the source of the gift.

(2 CCR § 18942(a)(19).)

Again, the Attorney General's personal friendship with Mr. Fulk is unrelated to her position as Attorney General. In fact, the two of them became friends before Attorney General Harris ran for the office of Attorney General. Mr. Fulk is not a registered lobbyist, and the Attorney General has not made or participated in any governmental decision that would reasonably have a foreseeable material financial effect on Mr. Fulk.

This is the very issue addressed by the FPPC when changes to the gift regulations were adopted by the Commission in December 2011. The FPPC staff memo specifically recognized the perils of "over broad disclosure categories" that required the disclosure of "all gifts over the reporting limit from any source, anywhere." (See October 31, 2011 Memorandum re Adoption of Proposed Amendments to the Gift and Travel Regulations, p. 17.) In recognition of that concern, the Commission adopted this exception to exempt gifts that have nothing to do with an official's position and the individual making the gift is not seeking anything from the public official.

Thus, on the basis of this exception, even if Mr. Fulk had provided the Attorney General with any "furnishings, accessories and services" in excess of the gift limits, they are not gifts under state law.

Conclusion

The current FPPC gift rules wisely target gifts from regulated parties to those by whom they are regulated. They focus on gifts where people seek influence and special treatment, and where no consideration is provided in return. This complaint couldn't be farther from that situation. In this case, the Attorney General paid for the goods and services provided by her friend. Even if

Jeanette Turvill
November 11, 2015
Page 5

the Attorney General had received some personal benefit that wasn't covered by her payments, this transaction was made with a close friend who has no business before the Attorney General's office. Therefore, the transaction falls squarely under the gift exceptions pursuant to FPPC rules and regulations.

For these reasons, this complaint should be rejected. The FPPC has historically rejected complaints that fail to meet even minimal standards of evidence to support a claim. This is particularly true for anonymous complaints and for those made during an active campaign for office. This is one of those cases. An anonymous complainant has made a baseless claim against a current candidate for office. The FPPC should reject it outright, and should immediately issue a closure letter.

We appreciate your prompt attention to this matter. I am happy to provide further information or address any additional issues you may have.

Very truly yours,



Stephen J. Kaufman

Cc: Galena West, Chief, Enforcement Division (via Email)

RECEIVED
FAIR POLITICAL
PRACTICES COMMISSION

August 31, 2015

2015 SEP -3 PM 4:17

California Fair Political Practices Commission
428 J Street
Suite 620
Sacramento, CA 95814

Dear Commission,

I am writing to inform you of what I believe to be unethical behavior on behalf of Kamala Harris, the Attorney General and her acceptance of gifts of furnishings, accessories, and services that exceed the FPUC limits.

Its my allegation that she accepted these "gifts" from Ken Fulk Inc over the last few years. She is one of the firms clients and her client code is H260. The H stands for her last name and the 260 represents the street number.

My suspicions were raised when I reviewed project detail and raised to the project manager. His only response was "very unique client" and "touchy situation." He verbally informed me of who the client is and upon learning that, I did more research.

There are 3 pieces of documentation included with this letter that substantiate that she has accepted nearly \$50k in furnishings and various managed services over the last few years that she has not paid for. These include landscaping, the shipment of furniture to a niece in Washington DC (comped), furnishings and accessories for the home.

Her requests for services are off the record and are sent via a text message to the assigned project manager, which also casts suspicion because the nature of the of business is very personal.

Explanation for each of the documents:

- **Document A**
 - Proposal 101769 covers various furnishings, accessories and services that were delivered to the address. I identified those in red that weren't charged to her or passed through at \$0 costs including Banquet Seating, which KF also incurred upholstery costs that weren't passed on to her. The subtotal is \$36,665.51 and the Design Fee is comped. Normally this would be 20 to 35% of the Total. **Total Value: \$39,687.56 or \$53,578 including Design Fee.**
- **Document B**
 - Upholstery of Banquet Seating. Not attached to a proposal, but 3rd party vendor costs were incurred for the work.
- **Document C**
 - Proposal 102574- Example of fairly recent landscaping and maintenance done at her property. It's my understanding that she has contributed \$2k towards these costs. **Total Value is \$7,189.42.**

I have thought long and hard about reporting this, but my motivation is that her name and role in government is being the enforcer of Giving for Donors, yet she is abusing that privilege. At this time, I wish to remain anonymous given the chain of command that this reaches.

Thank you for your consideration.

Ken Fulk Inc

310 7th Street
San Francisco, CA 94103-4030

Phone: (415) 285-1164
Website: www.kenfulk.com



Proposal







US

Proposal #: 101769
Proposal Date: 7/19/2013
Printed Date: 9/8/2014

Project: H260

Living Room Sofa, Pillows, Paint and Wallcovering

Quantity	Unit	Description	Unit Price	Total Price
100 - Living Room				
1.00	Each	AREA RUG - FRINGED HAND-LOOMED, 9' X 12' Color: Wheat	749.00	749.00
				
1.00	Each	AREA RUG - COW HIDE	0.00	0.00
				
0.00	Each	FURNITURE - COCKTAIL TABLE Coffee Table	0.00	0.00
				
1.00	Each	FURNITURE - RECTANGULAR DINING TABLE Rectangular Dining Table 72"W x 36"D x 29.25"H	349.00	349.00
				
1.00	Each	CASEGOOD - BOOK SHELF	0.00	0.00

no charge



1.00

Each

CASEGOOD - LOW BOOKCASE

0.00

0.00

no charge



3.00	Each	SPECIALTY HARDWARE - LAMP KNOBS Lamp Knobs	8.00	24.00
2.00	Each	ACCESSORIES - LAMP SHADE (2) FOR KFI THREE BALL LAMPS	167.00	334.00
1.00	Each	PAINT - PRIME WALL Labor and Materials to Prime Big Wall	850.00	850.00
1.00	Each	PAINT - PRIME AND PAINT WINDOW FRAMES Labor and Materials to Prime and Paint Window Frames	480.00	480.00
1.00	Each	SEATING - SOFA - DURSO FOR KNOLL Joe D'Urso for Knoll Sofa	433.50	433.50



1.00	Each	FURNITURE - UPHOLSTERY OF SOFA BODY Labor and Materials to Reupholster Sofa Body in "Natural Woven" Fabric and Seat Cushions in "Milo Moonbeam" Fabric	2,550.00	2,550.00
6.00	Yards	FABRIC - BACK CUSHION "GEOMETRIC TAUPE AND CREME" Taupe and Creme Woven Geometric Print	0.00	0.00
1.00	Each	SEATING - CUSTOM BENCH Custom Bench	1,102.00	1,102.00
1.00	Each	SEATING - BROWN SWIVEL CHAIR (2) Two Swivel Chairs from KFI Inventory	11,432.00	11,432.00



5.00	Each	SOFTGOODS - FABRICATION OF 23" SQUARE SOFA THROW PILLOW COVERS Labor and Materials to Fabricate 23" Square Throw Pillow Covers in "Hollywood" Fabric for Front and "Mercury" Silk for Back	220.60	1,103.00
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1.00 Each SEATING - BANQUET

0.00

0.00



reupholstery of banquet
also not charged, or passed
thru to kamala (B)

NO charge

1.00 Each SOFTGOODS - FABRICATION OF CUSTOM WINDOW SEAT CUSHION
Labor and Materials to Fabricate Custom Window Seat Cushion
54 3/8" W x 18" D

285.00

285.00

Room Total: 1,220.00

103 - Kitchen

1.00 Each PAINT - PRIME COUNTER BOARDS
Labor and Materials to Prime Kitchen Counter Boards

400.00

400.00

1.00 Each INSTALLATION - WALLPAPER
Installation of Owner Supplied Wallpaper in Kitchen on Island

320.00

320.00

Room Total: 720.00

104 - Throughout

1.00 Each RUG - PLYNYL 3 X 4

140.25

140.25

1.00 Each HARDWARE - PIVOT HINGE AND DRAWER SLIDES

121.37

121.37

1.00 Each PAINT - 2DAYS TO PREP, MUD, SAND, & PRIME NEWLY REPAIRED AREAS
Sand & Prime Repair of Newly Repaired Areas

1,235.00

1,235.00

1.00 Each NEW CABINETS - 2DAYS TO SAND, TACK & PRIME
Labor and Materials to Sand, Tack & Prime Area to Install Cabinets

1,150.00

1,150.00

2.00 Each ACCESSORIES - CLASSIC GLASS CANDLE (2)

130.35

260.70

1.00 Each ACCESSORIES - POSTER FRAMES

424.28

424.28

1.00 Each HOUSE KEEPING - SHELF LINER

7.50

7.50

1.00 Each HOUSE KEEPING - RUG PADS

66.03

66.03

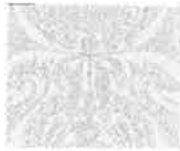
1.00 Each ACCESSORIES - SCREEN FOR TV CABINET

186.78

186.78



1.00	Each	SOFTGOODS - FABRICATION OF 15" x 24" PILLOW Labor and Materials to Fabricate 15" x 24" Pillow in TBD Fabric with 25/75 Down Fill	125.00	125.00
5.00	Each	SOFTGOODS - FABRICATION OF 26" SQUARE PILLOWS Labor and Materials to Fabricate 26" Square Pillows in TBD Fabric with 25/75 Down Fill	185.00	925.00
1.00	Each	DRAPERY REPAIR - RESEW RINGS ON DRAPERY PANEL Labor to Resew Rings on (1) 14 ft High Drapery Panel	100.00	100.00
13.00	Rolls	WALL COVERING MATERIALS - WALLPAPER "Malabar Stone" Wallpaper Including Installation	217.23	2,824.00



6.00	Each	ACCESSORIES - DVD STORAGE BINDERS (6) Holds 288 discs apiece.	19.99	119.94
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Room Total: 23,500.44

101 - Dining Room

1.00	Each	FURNITURE - GLASS TABLE TOP	585.00	585.00
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40.00	Yards	FABRIC - LEATHER FOR UPHOLSTERY OF CHAIRS "Barrington Shimmer" Leather for Upholstery of Rams Head Chairs	8.75	350.00
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1.00	Each	SEATING - GHOST CHAIRS (4)	0.00	0.00
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0.00

no charge.

1.00	Each	TRAVEL - TRANSPORTATION - MILEAGE AC 07/29 - 07/31/13	124.61	124.61
1.00	Each	TRAVEL - PER DIEM - TB 08/19 - 08/21/13	74.50	74.50

Room Total:	199.11
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Sub Total:	36,665.51
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Design Fee:	0.00
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Freight:	849.83
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Materials:	15.47
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Sales Tax:	2,156.75
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Total:	39,687.56
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Deposit Requested:	39,687.56
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Payment Applied:	0.00
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Deposit Balance:	39,687.56
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Currency:	USD
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*No design fee
which normally
is 20% to 35%*

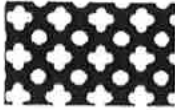
Accepted and Approved

Date

-Prices listed are based on current availability and are subject to change at the time items are ordered. Additional funding, if needed, will be billed separately after installation.

-Does not include delivery costs to site which will be determined at the time of installation and billed separately

**To pay by Wire Transfer: Bank: First Republic Bank,
Account Holder: Ken Fulk Inc., Account#: [REDACTED] ABA#: 321081669



1.00	Each	HOUSE KEEPING - EXTRA KEY SET COPY	6.50	6.50
1.00	Each	MOVING/HAULING: 05/21/13	229.25	229.25

Room Total: 3,827.66

zManaged Services

1.00	Lot	EXTERIOR - PLANTER RENOVATION 08/20/13 Remove and Replace (9) 7-Gallon Privet Cones.	1,975.00	1,975.00
1.00	Lot	EXTERIOR - INSTALLATION - NEW CHARCOAL PLANTER 09/12/13 Installed (1) new charcoal colored planter with Privet Cone. Trimmed all Privets and leaned up balcony.	750.00	750.00
1.00	Each	INSTALLATION SERVICES - KITCHEN CABINETS AND KICKPLATE	150.00	150.00
1.00	Each	STOVE REPAIR	69.00	69.00
1.00	Each	TELEVISION - OSCILLATING MOUNT Includes TV Mount, IR Kit, HDMI Cables, & Clock	545.00	545.00
1.00	Each	TELEVISION - OSCILLATING MOUNT INSTALLATION	1,000.00	1,000.00
4.00	Hours	TELEVISION - RELOCATE, REWIRE & REINSTALL 08/13/13 Includes Materials	85.00	340.00
1.00	Each	MANAGED SERVICES - 08/06/13 SITE SURVEY	100.00	100.00
1.00	Each	INSTALLATION SERVICES - REPAIR DRYWALL 08/13/13	150.00	150.00
1.00	Each	INSTALLATION SERVICES - REHANG DRAPERY AND BLINDS 08/16/13	150.00	150.00
1.00	Each	INSTALLATION SERVICES - 08/20 - 08/22/13 HANG ART, REPLACE SPICERACK AND BENCH HARDWARE	563.00	563.00
1.00	Each	FLOWERING: 08/21/13 INSTALLATION	510.05	510.05
1.00	Each	MOVING/HAULING	896.25	896.25
1.00	Each	SHIPPING - CHAIR TO MEENA IN DC	0.00	0.00



Niveen Washington
no charge!

no charge.

Room Total: 7,198.30

zReimbursed Expenses

B

https://studiowebware.secure.force.com/apex/SDItems?id=a1DC0000004V54WMAS&Client=a0xC000000...sqlAA&Vendor=&Room=&Description=&Pro...
https://studiowebware.secure.force.com/apex/SDItems?id=a1DC0000004V54WMAS&Client=a0xC0000003vb...sqlAA&Vendor=&Room=&Description=...

Item

Save Save & Close Save & New Delete Clone Cancel

FURNITURE - UPHOLSTERY OF BANQUET

Item Description Codes Amounts Activities Notes

Client / Project: H260 Ship To: *KFI PICKUP Active: ☐

Vendor: KEVIN GS CUSTOM UPH Showroom: View Client Payments

Sales Code: Furniture Manufacturer: View Vendor Payments

Room List: H260 Product #: Inventory ID:

Room: 100 - Living Room Item Code 1: Storage - Cedar Chav

Item #: SE-100-004 Item Code 2: *zMove Into Inventory

Component: 8 Item Status: --None--

Description: FURNITURE - UPHOLSTERY OF BANQUET

Sidemark: SE-100-004-B

Proposal #: Add to Proposal

Order: 220278 Remove from Order

Invoice #: Add to Invoice

Vendor Invoice #: 1150

Vendor Invoice Date: 8/19/2013 10/5/2013 Move to Inventory

Vendor Invoice Due: 9/6/2013 10/5/2013 Move to New Inventory

payment to
Vendor for
re-upholstery

Upholstery
for Banquet

Ken Fulk Inc

310 7th Street
San Francisco, CA 94103-4030

Phone: (415) 285-1164
Website: www.kenfulk.com

Proposal

US

Project: H260
Landscaping & Plant Maintenance

Proposal #: 102574
Proposal Date: 9/8/2014
Printed Date: 9/8/2014

Quantity	Unit	Description	Unit Price	Total Price
105 - Exterior				
1.00	Each	GARDEN - PLANTER Includes installation charges to secure planters to balcony	768.00	768.00
8.00	Each	LANDSCAPING - BOXWOOD (BALCONY)	105.00	840.00
1.00	Each	LANDSCAPE SERVICES - PATIO PLANTS 05/20/14 Phase 1 Plant Replacement: Gardening includes (1) 6'5" ft, 5-gallon Myrica Californica which replaced the existing Wax Leaf Privet.	1,200.00	1,200.00
1.00	Each	LANDSCAPE SERVICES - PATIO PLANTS 06/04/14 Phase 2 - Plant Replacement: Gardening includes replacing small pots with new larger pots with new plants. Materials included: Potting Soil, Pebble Mulch, Shim Feet, and 2 of 10 Boxwoods.	1,750.00	1,750.00
1.00	Each	LANDSCAPE SERVICES - PATIO PLANTS 07/25/14	150.00	150.00
			Room Total:	4,708.00
zManaged Services				
1.00	Each	MOVING/HAULING: 06/03/14	935.00	935.00
			Room Total:	935.00
			Sub Total:	5,643.00
			Design Fee:	1,347.30
			Materials:	24.73
			Sales Tax:	174.39
			Total:	7,189.42
			Deposit Requested:	7,189.42
			Payment Applied:	0.00
			Deposit Balance:	7,189.42

Accepted and Approved

Currency: USD

Date

-Prices listed are based on current availability and are subject to change at the time items are ordered. Additional funding, if needed, will be billed separately after installation.

-Does not include delivery costs to site which will be determined at the time of installation and billed separately

**To pay by Wire Transfer: Bank: First Republic Bank,
Account Holder: Ken Fulk Inc., Account# [REDACTED] ABA#: 321081669



COM-09122016-09857

FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

April 1, 2016

James Maxey

Re: Sworn Complaints Against Scott Jones, Jerry Zanelli, Anne Marie Schubert, Hillary Clinton, and Kamala Harris

Dear Mr. Maxey:

This letter is in response to the sworn complaints you submitted regarding the above-referenced persons. The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the Act) found in Government Code Section 81000, and following. After review of your complaint, the information provided does not establish a violation of the Act.

You allege various individuals, including the US Air Force, the State of California, and the United Kingdom violated Government Code Section 52.7 and amendments to the U.S. Constitution. However, the actions stated in your complaint are not governed by the Act, and therefore not under the jurisdiction of the Enforcement Division.

Thank you for taking the time to bring this matter to our attention. If you have any questions regarding this decision, please contact Teri Rindahl at (916) 327-2018 or trindahl@fppc.ca.gov.

Sincerely,

Galena West
Chief, Enforcement Division

GW/tr

cc: Scott Jones, Jerry Zanelli, Anne Marie Schubert, Hillary Clinton, and Kamala Harris

¹ Two complaints were filed against Zanelli.



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5660 • Fax (916) 322-0886

April 1, 2016

Kamala Harris
Office of the Attorney General
1300 I Street
Sacramento, CA 95814

RE: Sworn Complaint Against Kamala Harris

Dear Ms. Harris,

This letter is to notify you of a sworn complaint the Enforcement Division of the Fair Political Practices Commission received alleging you violated the Political Reform Act. After review of the complaint, the Enforcement Division has determined not to pursue an enforcement action. Attached is your copy of the complaint, and the Enforcement Division's response to Mr. Maxey.

If you have any questions regarding this decision, please contact the Teri Rindahl at (916) 327-2018 or trindahl@fppc.ca.gov.

Sincerely,

A handwritten signature in dark ink, appearing to read "Galena West".

For: Galena West, Chief
Enforcement Division

GW/tr

cc: James Maxey

RECEIVED
FAIR POLITICAL
PRACTICES COMMISSION

closure)* 28 PM 1:38

Mail the complaint to:

NOTE: *The Fair Political Practices Commission does not enforce or address violations of the Brown Act, the content of campaign communications, residency requirements, the inappropriate use of public funds or resources (including use of uniforms or equipment), placement of campaign signs or materials on public property, or violation of a local campaign rule or campaign ordinance.*

Last Name: Maxey

First Name: James

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: ()

Fax: () -

E-mail: _____

Under the California Public Records Act (Gov. Code Section 6250 and following), this sworn complaint and your identity as the complainant may be subject to public disclosure. Unless the Chief of Enforcement deems otherwise, within three business days of receiving your sworn complaint we will send a copy of it to the person(s) you allege violated the law.

In some circumstances, the FPPC may claim your identity is confidential, and therefore not subject to disclosure. A court of law could ultimately make the determination of confidentiality. If you wish the FPPC to consider your identity confidential, do not file the complaint before you contact the FPPC to discuss the complaint at (916) 322-5660 or toll free at (866) 275-3772.

Provision(s)/Section(s) of the Political Reform Act Allegedly Violated and When the Violation(s) Occurred: (If specific sections are not known, please provide a brief summary)

please see attached

###

Name and Addresses of Potential Witnesses, Other than Yourself, if Known:

Last Name: _____

First Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) ____-_____

Fax: (____) ____-_____

E-mail: _____

***IMPORTANT! Attach copies of any available documentation that is evidence of the violation, (for example, copies of checks, campaign materials, minutes of meetings, etc., if applicable to the complaint.) Note that a newspaper article is NOT considered evidence of a violation.**

Last Name: _____

First Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) ____ - _____

Fax: (____) ____ - _____

E-mail: _____

###

Last Name: _____

First Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) ____ - _____

Fax: (____) ____ - _____

E-mail: _____

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

James C. Maxey
(Signature)

3-28-2016
(Date)

James C. Maxey
(Please Print Your Name)

Clear Page

Print Page

MARCH 28, 2016

CA. Fair Political Practices Commission

Attention: Enforcement Division

428 J Street, Suite 620

Sacramento, CA. 95814

RE: KAMALA D. HARRIS, JERRY ZANELLI AND GOVERNMENTAL ADVOCATES

I demand that the CA. Fair Political Practices Commission Enforcement Division immediately investigate and conduct hearings into the actions of CA. Attorney General Kamala D. Harris, lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. The actions of CA. Attorney General Kamala D. Harris, lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. shock the conscience. CA. Attorney General Kamala D. Harris has committed impeachable offenses that bring mandatory forfeiture of public office, imprisonment and fines. I have filed civil complaint no. 2:15-CV-01095 with the United States District Court for the Eastern District of California. On, or about November 15, 2013, US Secret Service agents met with me and confirmed that on, or about July 22, 1969 (as a newborn), physicians associated with the US Air Force (father's employer), State of California and United Kingdom surgically implanted a RFID (Radio Frequency Identification) 'bionic' microchip implant into my brain.

From on, or about January 1, 2008, through the present time, CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to commit massive financial fraud, massive workers' compensation fraud and an illegal 'shake-down' of a blameless victim victimized based upon non consensual involuntary RFID implantation. CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to illegally violate California Civil Code Section 52.7 (a) which states: *'Except as provided in subdivision (g), 'a person shall not require, coerce, or compel any other individual to undergo the subcutaneous implanting of an identification device'*. In criminal violation of California Civil Code Section 52.7(b)(2), CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to 'require, coerce and compel' me to acquiesce to: (1) non consensual and unwarranted involuntary RFID subcutaneous implantation; (2) warrantless electromagnetic torture including remote-delivered laser beam assaults to my head, body and sexual organs; and, (3) warrantless surveillance based upon involuntary RFID endogenous compliance monitoring with geographical monitoring and tracking of myself based upon GPS technologies.

ALLEGATION ONE: CA. Attorney General Kamala D. Harris has willfully violated Government Code Section 81001(b) which states: *'Public officials, whether elected or appointed, should perform their duties in an impartial manner, freedom bias caused by their own financial interests or the financial interests of persons who have supported them'.*

ALLEGATION TWO: In a criminal violation of Government Code Section 81001et seq., CA. Attorney General Kamala D. Harris has failed to: (a) serve the needs and responding to the wishes of all citizens equally, without regard to wealth; and, (b) perform her duties in an impartial manner, free from bias caused by their own financial interests or the financial interests of persons who have supported her. CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to 'denationalize' me and commit citizenship fraud. These individuals have 'denationalized' and deprived me of citizenship which is a punishment barred by the US Supreme Court and Eighth Amendment to the US Constitution. In criminal violation of the Eighth Amendment, CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to cause the total destruction of my status in organized society. It is a form of punishment more primitive than torture, for it has destroyed for me the political existence that was decades in the development. CA. Attorney General Kamala D. Harris and lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. have stripped me of the right of self-determination. I am not free to determine my political status and freely pursue economic, social and cultural development. I have personal knowledge of the above criminal violation of the Political Reform Act of 1974, based upon my experience as a 'victim' of the above violent crimes.

ALLEGATION THREE: In a criminal violation of Government Code Section 81002 et seq., CA. Attorney General Kamala D. Harris has failed:

(a) to truthfully and accurately disclose 'secret' campaign contributions illegally derived from massive financial fraud, massive workers' compensation fraud and non consensual involuntary RFID subcutaneous implantation. CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zanelli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to fraudulently conceal and prevent public disclosure of: (1) 'secret' campaign contributions that illegally funded CA. Attorney General Kamala D. Harris' past election campaign for CA. Attorney General, as well as, her current election campaign for United States Senator; (2) 'secret' campaign contributions that illegally funded William J. Clinton's 1992 and 1996 Presidential election campaigns; (3) 'secret' campaign contributions that illegally funded Hillary R. Clinton's election campaign for US Senate and current Presidential election campaign; and, (4) 'secret' campaign contributions that illegally funded President Barack Obama's 2008 and 2012 elections campaigns for President. THE ABOVE-LISTED 'SECRET' ELECTION CAMPAIGN CONTRIBUTIONS ILLEGALLY-DERIVED FROM MASSIVE FINANCIAL FRAUD, WORKERS' COMPENSATION FRAUD AND NON CONSENSUAL INVOLUNTARY SUBCUTANEOUS IMPLANTATION HAVE NEVER BEEN TRUTHFULLY AND ACCURATELY DISCLOSED. I have personal knowledge of the above-described (violations of the Political Reform Act of 1974) 'secret' campaign contributions based upon my experience as a 'victim'.

In order to fraudulently conceal and prevent public disclosure of the above-described 'secret' election campaign contributions', CA. Attorney General Kamala D. Harris has illegally conspired with President Barack Obama, lobbyist, Jerry Zaneli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to commit criminal defamation of character, publicly slander and the fraudulent misrepresentation of me as: (1) a pedophile and convicted sex offender; (2) severely mentally-disabled; (3) a criminal under investigation (4) a drug addict; (5) an alcoholic; and/or, (6) a supporter of left-wing politician Lyndon LaRouche. In order to fraudulently conceal and prevent public disclosure of the above-described illegal campaign contributions illegally-derived from massive financial fraud and involuntary RFID subcutaneous implantation, CA. Attorney General Kamala D. Harris has illegally conspired with Sacramento County District Attorney Anne Marie Schubert, Sheriff Scott Jones, lobbyist, Jerry Zaneli, Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines and the Governmental Advocates Inc. to attempt to murder me through electromagnetic torture, cancer, strokes, cancer, leukemia, heart attacks, aneurysms and other natural causes – with the real cause of death – electromagnetic torture, physical assaults and mind control –being undetectable;

(b) to truthfully and accurately disclose receipts and expenditures during an election campaign. CA. Attorney General Kamala D. Harris has failed to accurately disclose receipts and expenditures of the above decribed 'secret' monetary compensation during her two election campaigns for CA. Attorney General and current election campaign for US Senator;

(c) to regulate the illegal influence and activities of lobbyist, Jerry Zanelli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. CA. Attorney General Kamala D. Harris has willfully failed to regulate the influence of lobbyist, Jerry Zanelli, Governmental Advocates Inc., Robert W. Maxey, Robert M. Maxey, Ramona Williams, Jan Scully, Patricia Staines who have illegally conspired with the FBI, Sacramento County District Attorney's Office and Sacramento County Sheriffs Department to financially exploit me in an orchestrated, organized and systematic pattern in which I have been treated as a possession to be controlled and illegally coerced to acquiesce to: (1) involuntary RFID subcutaneous implantation; (2) warrantless electromagnetic torture including remote-delivered laser beam assaults to my head, body and sexual organs; and, (3) warrantless surveillance based upon involuntary RFID endogenous compliance monitoring with geographical monitoring and tracking of myself based upon GPS technologies. These types of geographical tracking and monitoring fail the particularity test and thus violate the Fourth Amendment; (4) warrantless surveillance based upon a RFID radio-frequency channel and live-via-satellite broadcast which keeps me on public display; and, (5) warrantless involuntary human experimentation based on involuntary RFID subcutaneous implantation. CA. Attorney General Kamala D. Harris has illegally violated my rights under the Fourth Amendment to the US Constitution which states: *'The right of people to be secure in their persons, house, papers and effects against unreasonable searches and seizures, shall not be violated, and no warrants shall issue, but upon probable cause, supported by Oath or affirmation, and particularity describing the place to be searched and the persons or things to be seized'*. I am tracked and monitored like a convicted sex offender. As a practical matter, most people convicted of a crime would prefer electronic location monitoring to incarceration.

In criminal violation of Fourth Amendment to the US Constitution, CA. Attorney General Kamala D. Harris has illegally conspired with the FBI, Sacramento County District Attorney's Office and Sacramento County Sheriffs Department to conduct geographical monitoring and tracking of myself based upon Global Positioning Satellite technology hundreds of miles above in space and the non consensual involuntary RFID subcutaneous implantation. It is a criminal violation of the Fourth Amendment to the US Constitution to track and monitor a law abiding citizen with microchip implants with tracking capabilities. This technology allows CA. Attorney General Kamala D. Harris, FBI, Sacramento County District Attorney's Office and Sacramento County Sheriffs Department to monitor and track me in a manner far worse than any sex offender. CA. Attorney General Kamala D. Harris has illegally influenced and bribed Sacramento County District Attorney Anne Marie Schubert, Sheriff Scott Jones and others into subjecting me to imposed (24 hours a day) observation and of my belongings, person and surroundings through the use of electronic listening devices, video recording, special imaging and every other means of observing my possessions or routines. I am on public display [24 hours a day] live-via-satellite broadcast [uncensored] on a [RFID] radio broadcast frequency. The above-listed individuals have subjected me to prolonged physical and mental harm; and,

(d) to truthfully and accurately disclose their assets and income which was significantly affected by their official actions. CA. Attorney General Kamala D. Harris has illegally conspired with President Barack Obama, US Department of Justice, FBI, Sacramento County District Attorney's Office and Sacramento County Sheriffs Department and others to commit criminal extortion, massive fraud and an illegal 'shake-down' of billions of dollars of unrealized assets and property based upon massive financial fraud, workers' compensation fraud and non consensual involuntary RFID subcutaneous implantation. This monetary compensation has been illegally directed to the election campaigns of CA. Attorney General Kamala D. Harris, President Barack Obama, Hillary R. Clinton, US Senator Barbara Boxer, US Congressman Ami Bera, US Congressman Doris Matsui, Sacramento County District Attorney Anne Marie Schubert, Sheriff Scott Jones and others.

From on, or about the year 2008, through the present time, CA. Attorney General Kamala D. Harris and President Barack Obama have illegally authorized intelligence and law enforcement communities to involuntarily use me as a 'guinea pig' and 'slave' and 'biological robot' for involuntary human experimentation and the research and development of:

- (1) a government research neuroscience program; (2) neurological research into 'radiation';
- (3) neurological research into 'mind-control'; (4) neurological research into microwave and electromagnetic torture; and, (5) neurological research into behavior modification.

CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. to illegally profit from government research neuroscience programs that have a vast array of advanced technology and resources dedicated to the infliction of electromagnetic torture and remote neural monitoring of hundreds of thousands of citizens domestically. CA. Attorney General Kamala D. Harris has illegally conspired with President Barack Obama, lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. and others to illegally profit from my forced and coerced participation as a 'guinea pig', 'slave' and 'biological robot' for the research and development of the computer microchip and GPS technologies, as well as, electromagnetic torture, behavior modification and other inhumane, degrading and 'Nazi-type' involuntary human experimentation. In order to fraudulently conceal and prevent public disclosure of massive financial fraud, workers' compensation fraud and non consensual involuntary RFID subcutaneous implantation, CA. Attorney General Kamala D. Harris has illegally conspired with lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. to commit psychiatric fraud, publicly defame and illegally obtain and distribute false (confidential) medical information from fabricated medical records in illegal violation of the Civil Code of Procedure Section 1985.

ALLEGATION FOUR: In criminal violation of Government Code Section 81001(b), CA. Attorney General Kamala D. Harris has illegally conspired with President Barack Obama to willfully fail to regulate the activities of lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. CA. Attorney General Kamala D. Harris and President Barack Obama have illegally conspired with lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc., US Senator Barbara Boxer, Federal Bureau of investigation, US Attorney General Loretta Lynch, Vivek Ranavive, Mayor Kevin Johnson, City of Sacramento (City Council), County of Sacramento, State of California, Kaiser Permanente Hospital, Barbara Turner Construction Company, Cal PERS, Goldman Sachs Bank, US Senator Barbara Boxer, US Congressman Ami Bera, US Congressman Doris Matsui, Governor Edmund G. Brown, Sacramento County District Attorney Anne Marie Schubert, Sacramento County Sheriff Scott Jones and others to commit massive workers' compensation fraud and illegally extort monetary compensation based upon my non consensual involuntary RFID subcutaneous implantation. The above-listed individuals conducted an illegal and elaborate 'backroom' scheme to illegally subsidize: (a) the operations of the Sacramento Kings Franchise, National Basketball Association, Kaiser Permanente Hospital, Goldman Sachs Bank, Schools Insurance Authority, Twin Rivers USD, TRUSD Police Department, Turner Construction Company, Cal PERS and construction of the Downtown Plaza and Sports Arena funded with monetary compensation illegally-derived from workers' compensation fraud and involuntary RFID subcutaneous implantation; (b) the illegal and invalid purchase of the Sacramento Kings Franchise by Vivek Ranavive with an illegal subsidy illegally-derived from workers' compensation fraud and non consensual involuntary RFID subcutaneous implantation; and, (c) a corporate sponsorship between Kaiser Permanente and Sacramento Kings Franchise.

RELIEF REQUESTED

1. WHEREFORE, I demand that the CA. Fair Political Practices Commission Enforcement Division conduct an investigation into the illegal actions of CA. Attorney General Kamala D. Harris, lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. who have illegally profited based upon massive financial fraud, workers' compensation fraud and involuntary RFID subcutaneous implantation;
2. I demand that the CA. Fair Political Practices Commission Enforcement Division conduct an accounting audit of CA. Attorney General Kamala D. Harris, lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc., for criminal violations of the Political Reform Act of 1974, to the extent applicable, in accordance with generally-accepted auditing standards; and, to the extent necessary, using other tests of accounting records and auditing procedures appropriate under the circumstances of each audit or investigation pursuant to Government Code Sections 90000 and 61737.07; and,
3. I demand that the CA. Fair Political Practices Commission Enforcement Division report the allegations against CA. Attorney General Kamala D. Harris, lobbyist, Jerry Zaneli, Robert M. Maxey, Ramona Williams, Jan Scully and the Governmental Advocates Inc. to a Grand Jury pursuant to Government Code Section 12552. The undersigned declares under penalty of perjury that he is the Plaintiff in the above action and that he has read the above complaint, and that the information contained therein is true and correct.

Name: James C. Maxey
Printed

Signature: James C. Maxey
Signature

Executed at Carmichael, California on MARCH 28, 2016.

DATABASE CASE CLOSURE SHEET

CASE No.	130085
CASE NAME:	KANALA HARRIS
STAFF:	MARLAND
DATE:	2/28/13

RESPONDENT(S)	<input checked="" type="checkbox"/> No changes
<input type="checkbox"/> Add respondent(s): <input type="checkbox"/> Delete respondent(s):	

VIOLATION(S)	<input checked="" type="checkbox"/> No changes
<input type="checkbox"/> Add violation(s): <input type="checkbox"/> Delete violation(s):	

COMMENT SECTION	<input checked="" type="checkbox"/> No changes
<input type="checkbox"/> Add comments: <input type="checkbox"/> Delete comments:	

WEBSITE POSTING	<input checked="" type="checkbox"/> No letter(s) to be posted
<input type="checkbox"/> Warning letter to respondent (s): <input type="checkbox"/> Advisory letter to respondent(s): <input type="checkbox"/> No violation letter to respondent(s):	

COMM. PROSECUTION CASES. Send letter and copy of stipulation to:	
<input type="checkbox"/> Respondent(s) – provide name(s) and address(es):	} N/A
<input type="checkbox"/> Complainant(s) – provide name and address(es):	

OTHER PARTIES TO BE NOTIFIED. Send letter and copy of stipulation to:	
<input type="checkbox"/> Attorney General:	} N/A
<input type="checkbox"/> District Attorney:	
<input type="checkbox"/> Other:	

SPECIAL CLOSEOUT INSTRUCTIONS:

DISPOSITION CODES: Select one

- ☐ 100z - Found no violation of the Act
- ☒ 540 - FTB report; minor violation; no action
- ☐ 550 - FPPC audit report; minor violation; no action
- ☐ 600 - Warning letter issued
- ☐ 610 - Advisory letter issued
- ☐ 620 - Stipulation approved by Commission
- ☐ 630 - Default approved by Commission
- ☐ 640 - Civil judgement approved by Commission
- ☐ 650- Administrative judgement approved by Commission
- ☐ 700- Other disposition; see "Comments"

the 'information' and 'communication' fields. The 'information' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'communication' field is defined as:

...the study of the nature, sources, uses, and management of communication, and the study of the communication of information. (p. 1)

The 'information science' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information studies' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information technology' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information systems' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information science and technology' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information science and technology studies' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information science and technology studies' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

The 'information science and technology studies' field is defined as:

...the study of the nature, sources, uses, and management of information, and the study of the communication of information. (p. 1)

130085 Harris, Kamala D.

Status: Intake
Date Opened: 02/28/2013
Date Closed:
State/Local: State
City: San Francisco
County: San Francisco
Full Investigation Date:
First Statute of Limitations:
Last Statute of Limitations:
Collection Date:
Pending:
Complaint Type: Audit Referral
Related Cases: Respondents: 0020937 - Harris, Kamala D. (Primary)
0020938 - Kamala Harris for AG 2010
0009573 - Reed, Daralyn

Complainants: 0001753 - FTB Audit Report
Staff Assigned: 0040 - Marland, Bill
Violations: 85301 - Limitations on Contributions from Persons (Primary)
Disposition Code:
Priority: 0 - Unassigned
Comments:

Report dated 1/31/13. Audit period 1/1/08 - 12/31/10
Total rcpts. \$7,688,968; total exp. \$7,510,469



State of California
Franchise Tax Board

POLITICAL REFORM AUDIT PROGRAM MS F387
PO BOX 651
SACRAMENTO CA 95812-0651

AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Kamala D. Harris
Kamala Harris for Attorney General 2010 (1313464)
c/o The Sutton Law Firm
150 Post Street, Suite 405
San Francisco, CA 94108

January 1, 2008 through December 31, 2010

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Statewide candidates who raised or spent \$25,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the District Attorneys of Los Angeles, Sacramento, and San Francisco Counties on January 31, 2013.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was formed on November 20, 2008, and was controlled by Democratic Attorney General Kamala D. Harris. Ms. Harris was elected in the 2010 General Election. The Committee terminated effective June 30, 2011.

TREASURERS:

Daralyn E. Reed
Daralyn Reed Company
504 Hillcrest Drive
Yreka, CA 96097

James R. Sutton (11/20/08 - 12/02/08)
The Sutton Law Firm
150 Post Street, Suite 405
San Francisco, CA 94108

FINANCIAL ACTIVITY

Total Contributions Received: \$ 7,688,968

Total Expenditures: \$ 7,510,469

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

MONETARY CONTRIBUTIONS RECEIVED

Contributions received from ten contributors exceeded the contribution limits by \$11,150. Excess amounts totaling \$7,650 were refunded to eight of the donors.

Reference Exhibit A

Ms. Reed stated that six of the contributors made their final contributions by credit card and the funds went directly into the Committee's bank account, so the contributions could not be returned prior to the funds being deposited. She added that, because the eight refunds were made shortly after receipt, the Committee never used or benefited from these excess funds. Ms. Reed further added that there were no reasons to suspect affiliation of the individuals with the businesses.

EXHIBIT A - CONTRIBUTIONS RECEIVED OVER THE LIMITS

<u>Name</u>	<u>Date Received</u>	<u>Amount</u>	<u>Excess</u>	
1. Katharine M. Albright	12/20/08	\$ 500		
Katharine M. Albright	05/05/09	1,000		
Katharine M. Albright	03/27/10	1,000		
Katharine M. Albright	05/15/10	2,500		
Katharine M. Albright	06/05/10	2,500		
Katharine M. Albright	09/28/10	1,500		
Katharine M. Albright	10/20/10	1,000		
Katharine M. Albright	12/27/10	<u>4,000</u>		
	Subtotal	\$ 14,000		
	Limit	\$ <u>13,000</u>	\$ 1,000	(1)
2. Richard C. Barker	03/31/09	\$ 6,500		
Richard C. Barker	08/20/09	150		
Richard C. Barker	07/12/10	<u>6,500</u>		
	Subtotal	\$ 13,150		
	Limit	\$ 13,000	\$ 150	(2)
3. Cognilytics, Inc.	11/20/09	\$ 6,500		(*)
Gary Gauba	05/18/10	1,000		(*)
Cognilytics, Inc.	09/29/10	<u>6,500</u>		(*)
	Subtotal	\$ 14,000		
	Limit	\$ <u>13,000</u>	\$ 1,000	
4. John Fisher	12/31/08	\$ 2,500		
John Fisher	04/16/10	1,000		
John Fisher	05/26/10	5,000		
John Fisher	08/19/10	<u>5,000</u>		
	Subtotal	\$ 13,500		
	Limit	\$ <u>13,000</u>	\$ 500	(3)
5. Donald Foster	06/29/09	\$ 6,500		
Donald Foster	07/12/10	6,500		
Donald Foster	10/26/10	<u>1,000</u>		
	Subtotal	\$ 14,000		
	Limit	\$ <u>13,000</u>	\$ 1,000	(4)
6. Ann Getty	06/03/09	\$ 1,000		
Ann Getty	03/03/10	6,500		
Ann Getty	08/27/10	<u>6,500</u>		
	Subtotal	\$ 14,000		
	Limit	\$ <u>13,000</u>	\$ 1,000	(5)

	<u>Name</u>	<u>Date Received</u>	<u>Amount</u>	<u>Excess</u>
7.	Joanna Rees	04/06/09	\$ 1,000	
	Joanna Rees	03/02/10	1,000	
	Joanna Rees	03/17/10	5,000	
	Joanna Rees	09/14/10	<u>6,500</u>	
	Subtotal	\$	13,500	
	Limit	\$	<u>13,000</u>	\$ 500 (6)
8.	Ellen H. Rosenberg	01/04/10	\$ 2,500	(*)
	Ellen H. Rosenberg	09/28/10	6,500	(*)
	TBGI, A California Corporation DBA Townhouse Bar & Grill	10/20/10	<u>6,500</u>	(*)
	Subtotal	\$	15,500	
	Limit	\$	<u>13,000</u>	\$ 2,500
9.	Lynda Thomas	06/23/09	\$ 500	
	Lynda Thomas	10/30/09	1,000	
	Lynda Thomas	12/28/09	5,000	
	Lynda Thomas	05/28/10	1,500	
	Lynda Thomas	09/17/10	<u>6,500</u>	
	Subtotal	\$	14,500	
	Limit	\$	<u>13,000</u>	\$ 1,500 (7)
10.	J. Minott Wessinger	09/14/09	\$ 10,000	
	J. Minott Wessinger	04/01/10	<u>5,000</u>	
	Subtotal	\$	15,000	
	Limit	\$	<u>13,000</u>	\$ 2,000 (8)
Total Received in Excess of the Limits			\$	11,150

(*) Affiliated entities.

(1)	Refund check issued on 12/31/10	\$ 1,000
(2)	Refund check issued on 07/15/10	150
(3)	Refund check issued on 08/19/10	500
(4)	Refund check issued on 11/01/10	1,000
(5)	Refund check issued on 08/31/10	1,000
(6)	Refund check issued on 09/15/10	500
(7)	Refund check issued on 09/29/10	1,500
(8)	Refund check issued on 04/01/10	<u>2,000</u>

Total \$ 7,650